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U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. UTILITY AEG001 PATENT APPLICATION First Inventor TRANSMITTAL Title (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Labe ER189203985US APPLICATION ELEMENTS ADDRESS TO. P.U. BOX 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) **Specification** [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: b. - Cross Reference to Related Applications LOWIT - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix Paper - Background of the Invention 01. - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure 9. 🔀 Assignment Papers (cover sheet & document(s)) Drawing(s) (35 U.S.C. 113) [Total Sheets ___ 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets 2 11. English Translation Document (if applicable) Newly executed (original or copy) Information Disclosure Copies of IDS S Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) 13. **Preliminary Amendment** (for continuation/divisional with Box 18 completed) 14. x. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) 15. L Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. L Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). did (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Continuation Divisional of prior application No.: Prior application information: Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS **Customer Number:** Correspondence address below Name FORTUNE LAW GROUP 100 Century Center Court Suite 315 Address City State Zip Code San Jose Country Telephone 408 436 0789 USA

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No. (Attorney/Agent)

Name (Print/Type)

Signature

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

541

Effective 01/01/2003. Patent fees are subject to annual revision.

___ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known		
Application Number	not assigned	
Filing Date	09/19/03	
First Named Inventor	Bin Lu	
Examiner Name	not assigned	
Art Unit	not assigned	
Attorney Docket No.	3 ECO O 1	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:		Large Entity Small Entity				
Deposit Account	Fee Fee Code (\$)		Fee (\$)	Fee Description	Fee Paid	
Number	1051 130	2051	65	Surcharge - late filing fee or oath		
Deposit Account Name	1052 50	2052		Surcharge - late provisional filing fee or cover sheet		
The Director is authorized to: (check all that apply)	1053 130	1053	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520	1812 2	,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application		1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840	1805 1	,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251 110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252 410	2252	205	Extension for reply within second month		
Large Entity Small Entity	1253 930	2253	465	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,450	2254	725	Extension for reply within fourth month		
1001 750 2001 375 Utility filing fee	1255 1,970	2255	985	Extension for reply within fifth month		
1002 330 2002 165 Design filing fee 375	1401 320	2401	160	Notice of Appeal		
1003 520 2003 260 Plant filing fee	1402 320	2402		Filing a brief in support of an appeal		
1004 750 2004 375 Reissue filing fee	1403 280	2403	140	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 375	1452 110	2452	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,300	2453	650	Petition to revive - unintentional		
Fee from	1501 1,300	2501	650	Utility issue fee (or reissue)		
Total Claims Below Fee Paid Total Claims 34 -20** = 14 X 9 = 12 C	1502 470	2502	235	Design issue fee		
Independent	1503 630	2503	315	Plant issue fee		
Claims - 3" = L	1460 130	1460	130	Petitions to the Commissioner		
	1807 50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Description	1806 180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021 40	8021	40	Recording each patent assignment per property (times number of properties)	40	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809 750	2809		Filing a submission after final rejection (37 CFR 1.129(a))		
1203 280 2203 140 Multiple dependent claim, if not paid	1810 750	2810	375	For each additional invention to be		
1204 84 2204 42 ** Reissue independent claims	4004 75	0004	A	examined (37 CFR 1.129(b))		
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20	1801 750 1802 900	i	375	,		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 126	Other fee (s		=			
**or number previously paid, if greater; For Reissues, see above	*Reduced b	y Basic Fi	iling Fe	SUBTOTAL (3) (\$) 40		

SUBMITTED BY

Name (Print/Type)

Jingming Cat

Registration No. (Attorney/Agent)

Attorney/Agent)

Signature

(Complete (if applicable))

Telephone 08 436 0789

Date 09/19/03

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